

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)  
Debtors. : (Jointly Administered)  
-----x Ref. Docket No. 33411, 33493-  
33511, 33753, 33833, 33839, 33938,  
33969, 33980, 33983, 34072, 34073,  
34077, 34099-34101, 34365, 34366,  
34368-34371, 34374, 34381, 34382,  
34384-34390, 34392

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 4, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
7<sup>th</sup> day of February, 2013

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.  
08-13555 (JMP)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) OR (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALSTON INVESTMENTS LLC  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: ELLIOT GREENBERG / RAJAT BOSE  
712 FIFTH AVENUE, 35TH FLOOR  
NEW YORK NY 10019

Please note that your claim # 62743-07 in the above referenced case and in the amount of  
\$84,291,735.40 allowed at \$84,029,591.47 has been transferred (unless previously expunged by court order)

BARCLAYS BANK PLC  
TRANSFEROR: ALSTON INVESTMENTS LLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

BARCLAYS BANK PLC  
ATTN: ANTHONY VITIELLO  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 34386 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/04/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 4, 2013.

## **EXHIBIT B**

Name	Address
ALSTON INVESTMENTS LLC	712 FIFTH AVENUE, 35TH FLOOR, NEW YORK, NY 10019
ALSTON INVESTMENTS LLC	ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ASHTON INVESTMENTS, LLC	ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
ASHTON INVESTMENTS, LLC	ELLIOTT INTERNATIONAL, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
BANCA MONTE PARMA S.P.A.	ATTN: MR. ANDREA MOSCONI P.LE JACOPO SANVITALE, 1 PARMA PR 43121 ITALY
BANK JULIUS BAER & CO. LTD	TRANSFEROR: UBS AG ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 8010- ZURICH SWITZERLAND
BANQUE CANTONNALE NEUCHATELOISE	TRANSFEROR: UBS AG AV. DE LA GARE 12 CH-2013 COLOMBIER SWITZERLAND
BANQUE PIGUET & CIE S.A.	ATTN: NICOLAS TERRIER, FIRST VICE-PRESIDENT ATTN: YAN FRIEDRICH, ASSISTANT VICE PRESIDENT RUE DE LA PLAINE 14 YVERDON CH-1400 SWITZERLAND
BARCLAYS BANK PLC	ATTN: ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: ASHTON INVESTMENTS, LLC 745 SEVENTH AVENUE NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BAUPOST GROUP SECURITIES, L.L.C	TRANSFEROR: J.P. MORGAN SECURITIES LLC ATTN: PHILIP WELLS C/O ROPES & GRAY LLP 1211 AVENUE OF THE AMERICAS NEW YORK NY 10036-8704
BERNER KANTONALBANK AG	TRANSFEROR: CREDIT SUISSE ATTN: SAMUEL STUCKI POSTFACH CH-3001 BERN SWITZERLAND
BERNER KANTONALBANK AG	TRANSFEROR: CREDIT SUISSE ATTN: SAMUEL STUCKI POSTFACH CH-3001 BERN SWITZERLAND
BRM HOLDINGS (CYMAN) LTD.	TRANSFEROR: DAVIDSON KEMPER DISTRESSED OPPORTUNITIES INT'L FUND C/O DAVIDSON KEMPER ATTN: JENNIFER DONOVAN 65 EAST 55TH STREET NEW YORK NY 10022
CAN ANSAY	TRANSFEROR: FROHLICH, REINHOLD HARTUNGSTRASSE 14 HAENBURG 20146 GERMANY
CAN ANSAY	TRANSFEROR: LALA, CHRISTEL HARTUNGSTRASSE 14 HAENBURG 20146 GERMANY
CASA DI RISPARMIO DEL VENETO SPA	LEGAL DEPARTMENT CORSO GARIBOLDI 22/22 PADOVA 35122 ITALY
CREDIT SUISSE	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010
CREDIT SUISSE (GUERNSEY) LIMITED	CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHT AVENUE NEW YORK NY 10019
CREDITIT EMILIANO SPA	TRANSFEROR: CREDIT SUISSE ATTN: STEPHANIE TUMBOLIO C/O CRAVATH SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019
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CVF LUX FINCO, LLC	ATTN: EPISTO BERTRAND VIA EMILIA SAN PIETRO, N. 4 REGGIO EMILIA 42121 ITALY
CVF LUX FINCO, LLC	ANDREW'S KURT HILL ATTN: DAVID HOYT 450 LEXINGTON AVENUE NEW YORK NY 10017
CVF LUX FINCO, LLC	CREDIT SUISSE ATTN: WILLIAM DOYLE 11 MADISON AVENUE NEW YORK NY 10010
CVF LUX FINCO, LLC	TRANSFEROR: CVF LUX MASTER SARL C/O CAR VAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BLVD. HOPKINS MN 55343
CVF LUX SECURITIES TRADING S.A.R.L.	CREDIT SUISSE ATTN: WILLIAM DOYLE 11 MADISON AVENUE NEW YORK N 10010
CVF LUX SECURITIES TRADING S.A.R.L.	TRANSFEROR: CVF LUX FINCO, LLC C/O CARVAL INVESTORS LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
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ELLIOOTT ASSOCIATES, L.P.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION - MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
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FROHLICH, REINHOLD HSBC PRIVATE BANK SUISSE SA	MUHLTHALERSTR. 71 MUNCHEN 81475 GERMANY
ILLIQUIDX LLP	ATTN: JACQUES DUROUTENOZ, SECURITIES DEPARTMENT MANAGER QUAJ GENERAL-GUISAN 2 GENEVA 3 1211 SWITZERLAND
ILLIQUIDX LLP	TRANSFEROR: BANCA MONTE PARMA S.P.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX LLP	TRANSFEROR: BANQUE PIGUET & CIE S.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
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ILLIQUIDX LLP	TRANSFEROR: CREDITO EMILIANO SPA ATTN: MR. GALINA ALABATCHKA 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX LLP	TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
INTESA SANPAOLO PRIVATE BANKING S.P.A.	REF. PAOLO POLLASTRI (LEGAL DEPARTMENT) VIA HOELLI, 10 MILANO 20121 ITALY
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LAIKA, CHRISTEL LIQUIDATION OPPORTUNITIES MASTER FUND LP	TRANSFEROR: NEWFINANCE ALDEN SPV ATTN: MAFF, URSLA AMSELWEG 10 ARNSBERG 59759 GERMANY

Address

NAME: NEWFINNANCE ALDEN SPV	TRANSFEROR: BARCLAYS BANK PLC ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE 34TH FLOOR NEW YORK NY 10022
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NAME: NEWFINNANCE ALDEN SPV	TRANSFEROR: STONE LION PORTFOLIO LP ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BOULEVARD STAMFORD CT 06901
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NAME: NEWFINNANCE ALDEN SPV	TRANSFEROR: MAUFF, URSULA ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
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NAME: STICHTING THE LAMEX VALUE FOUNDATION	TRANSFEROR: CREDIT SUISSE BAHNHOFSTRASSE 45 ZURICH CH-8001 SWITZERLAND
NAME: UBS AG	ATTN: HUGO KOLLER, O9C/05GC P.O. BOX ZURICH 8098 SWITZERLAND
NAME: UBS AG	AM ROTT 12 KERPEN 50171 GERMANY
NAME: UBS AG	TRANSFEROR: HSBC PRIVATE BANK SUISSE SA 10 HENHAWK ROAD GREAT NECK NY 11024
NAME: VAN DER MEE, HANS-GERD YEHUDA, URI BEN	